UTILITY Attorney Docket No. PRD0036NP PATENT APPLICATION First Inventor Hui Cai TRANSMITTAL Title HETEROCYCLIC COMPOUNDS (only for new nonprovisional applications under 37 CFR Express Mail Label No. EU642143315US 1.53(b)) **APPLICATION ELEMENTS** ADDRESS TO: Mail Stop Patent Application Commissioner for Patents See MPEP Chapter 600 concerning utility patent application P.O. Box 1450 Alexandria, VA 22313-1450 1. X Fee Transmittal Form (e.g., PTO/SB/17) 7. CD-ROM or CD-R in duplicate, large table or (submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 3. Specification [Total Pages 47] 8. Nucleotide and/or Amino Acid Sequence (Preferred arrangement set forth below) Submission (if applicable, all necessary) - Descriptive Title of the Invention a. Computer Readable Form (CRF) - Cross Reference to Related Applications b. Specification Sequence Listing on: - Statement Regarding Fed sponsored R&D i. CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a ii. 🔲 paper computer program listing appendix c. Statement verifying identity of above copies - Background of the Invention - Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** - Brief Description of the Drawings (if filed) 9. Assignment Papers (cover sheet & document(s)) - Detailed Description 10. 37 CFR 3.73(b) Statement Power of Attorney - Claim(s) (when there is an assignee) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. Information Disclosure Statement (IDS)/PTO-1449 4. Drawing(s)(35 USC 113) [Total Sheets Copies of IDS Citations 5. Oath or Declaration [Total Pages5] 13. Preliminary Amendment a. Newly executed (original or copy) 14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) b. Copy from a prior application (37 CFR 1.63(d)) 15. Certified Copy of Priority Document(s) (for continuation/divisional with Box 18 completed) (if foreign priority is claimed) i. DELETION OF INVENTOR(S) 16. Request and Certifications under 35 U.S.C. 122 Signed statement attached deleting (b)(2)(B)(i). Applicant must attach form inventor(s) named in the prior application. PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 17. Other 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No.: , filed Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label 000027777 or Correspondence Address below Philip S. Johnson, Esq. Name: Address: Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

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21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

John W. Harbour NAME Reg. No. 31365

SIGNATURE Séptember 5, 2000 DATE

FEE TRANSMITTAL Filing Date First Named Inventor Hui Cai Group Art Unit Examiner Name Attorney Docket Number PRD0036NP

FEE CALCULATION

CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$750.00
TOTAL CLAIMS	3 - 20 =	0	x 18.00	\$ 0.00
INDEPENDENT CLAIMS	3 - 3 =	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS		N/A	\$280.00	
			TOTAL FEES	\$ 750.00

METHOD OF PAYMENT

- Please charge Deposit Account No. 10-0750/PRD0036/JWH in the amount of \$750.00. Three copies of this sheet are enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/PRD0036/JWH. Three copies of this sheet are enclosed.

SUBMITTED E	Complete (if applicable)		
Typed or Printed Name	John W. Harbour		Reg. No. 31,365
Signature	John N Hart	Date: September 5, 2003	Deposit Account No. 10-0750

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hui Cai et al

For : HETEROCYCLIC COMPOUNDS

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Date of Deposit:

September 5, 2003

I hereby certify that this complete application, including specification pages, claims, Declaration and Power of Attorney is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Laurie Phillips

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